Carbon Footprint Assessment of glass packaging: a preliminary metaanalysis of circular economy policies

Giulio P. Agnusdei*,** and Maria G. Gnoni*

* Dipartimento di Ingegneria dell'Innovazione, University of Salento, Via per Monteroni, 73100 – Lecce – Italy (giulio.agnusdei@unisalento.it, mariagrazia.gnoni@unisalento.it)

** Department of Mechanical and Industrial Engineering, Norwegian University of Science and Technology (NTNU), S.P. Andersens vei, 5, NO-7491 – Trondheim – Norway

Abstract: The food and beverage sector is the major user of glass packaging because it is still considered as one of the most reliable packaging for ensuring health, taste, and lowest environmental impacts. Glass packaging can be produced through the melting of sand, soda ash and limestone at high temperature (virgin glass), the remelting of cullet (recycled glass), or can be cleaned and sanitized to be re-used (reused glass).

The present study aims at reviewing studies on the carbon footprint of glass packaging systematizing them based on the circular economy policies recommended for making forward and reverse supply chain flows sustainable.

The adopted methodology consists of a preliminary meta-analysis, based on descriptive statistical methods, which synthetizes the existing literature and visualize the results of empirical studies related to the carbon footprint assessment (CFA) of glass packaging, by discussing the challenges of current models within the food and beverage supply chains.

The findings show that the reviewed studies seem to recommend roughly similar circular economy patterns that lead to carbon footprint reduction in different ways. By identifying the most recommended circular economy policies, these preliminary results suggest that the reuse of glass, could be a solution for reducing greenhouse gas (GHG) emissions. Given the limitations regarding the post-consumption collection of glass, rethinking the management of forward and reverse supply chain is a relevant issue. In this sense, deposit refund systems, door-to-door collection, and traceability systems, could be effective solutions to encourage the reuse of glass packaging, driving the transition towards the Sustainable Development Goals (SDGs).

Keywords: glass; GHG emissions; recycling; reuse; Food and Beverage supply chain.

I. INTRODUCTION

The growing income levels and the subsequent changes in lifestyles caused an increase in packaging waste also in developing countries [1].

Packaging represents a relevant share of the global environmental impact: its brief life cycle significantly contributes to the overall material consumption but also affects the impact of the transport sector. As a matter of fact, nowadays, because of globalisation, products and their packaging are often transported over large distances causing CO_2 emissions. Developing Life cycle Assessment (LCA)-based analysis is then mandatory to provide useful and reliable guidelines concerning the environmental impact of packaging.

Glass has been used as packaging as early as its discovery. Glass is an inorganic permanent material, which may be recycled without properties modification. Since it is an inert material, it has protective properties, which make it suitable to contain food ensuring quality and safety [2], to be sanitized after use and to be potentially reused.

Glass packaging recycling and/or recovery allows for resource and energy savings, but also for the carbon dioxide emission reduction [3,4], but requires a prior separate collection of container glass by color to achieve efficiency [5]. Glass is then crushed into fragments and further sorted by removing other contaminant materials, such as metals, plastics, paper, ceramics, stones, and porcelain [4]. About 90% of glass fragments is mixed with virgin glass, consisting of silicone dioxide, soda ash, and limestone, as well as additional substances in smaller quantities (e.g., colorants). The mixture is then melted at temperatures of about 1400 °C and transformed into new glass products. Glasses from applications other than packaging are excluded from container glass recycling due to their different chemical composition and their potential content of hazardous substances, which could alter efficient melting or quality.

The scientific literature concerning environmental impact of packaging is wide and diversified as these types of studies are affected by the case study methodology.

The present study aims at offering a contribution to the carbon footprint assessment of glass packaging, reporting a comparative analysis among different carbon footprint assessment case studies regarding, even not exclusively, glass packaging and providing in-depth analysis on the recommended policies inspired by the circular economy paradigm.

Examining the research trends, the study allows to assess both different glass packaging systems as well as disposable, recyclable and reusable solutions, dealing with several aspects concerning the environmental impact of packaging such us: material ecological properties, package weight, suitability for reuse.

The rapid review and preliminary meta-analysis contribute to develop and systematize the base of knowledge in terms of carbon footprint in the glass packaging sector.

II. BACKGROUND

To date, a widely recognized and solid definition of a carbon footprint does not exist, but the notion of footprint does exist.

Indeed, the carbon footprint (CF) derives from the notion of ecological footprint (EF), which is a measure of human demand on the ecosystems, i.e., a standardized measure of demand for natural capital in contrast with the ecosystem capacity to regenerate. EF represents the amount of productive land and sea area needed to supply the resources a community consumes, and to absorb the related waste [6].

Wiedmann and Minx [7] proposed a mostly accepted definition of the carbon footprint as - a measure of the total amount of carbon dioxide emissions directly and indirectly generated by an activity or gathered over the life stages of a commodity [8].

The carbon footprint assessment can be applied to people, products, organizations, and countries [7,9,10], with different boundaries, which can overlap.

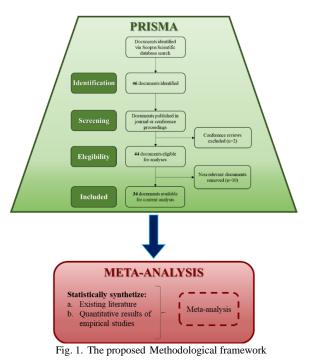
A product carbon footprint measures the greenhouse gas emissions over the whole life cycle of goods or services, from cradle to grave.

The methodologies to be adopted for conducting a carbon footprint assessment are not specified by the definition but should satisfactorily meet the requirements of the definition. A carbon footprint assessment can be carried out based on several functional units at different scales and using three principal methods: input–output analysis [11], life-cycle assessment [9] and the hybrid method [12]. The latter represent an active area of research and are being increasing used in practice.

Without considering the similarities, differences and deficiencies of the adopted carbon footprint assessment standards, this study focuses on the descriptive analysis of circular economy policies recommended by scholars which carried out the carbon footprint assessment of glass packaging.

III. METHODOLOGY

As illustrated in Figure 1, the research design adopted for this study is based on two steps: (i) the collection of studies regarding (even not exclusively) the carbon footprint of glass packaging selected on Scopus, among papers published in indexed journals, books and conference proceedings; (ii) a meta-analysis to statistically synthetize existing literature and visualize the policy recommendations deriving from empirical studies.



A. Data collection

The study was based on the abovementioned methodology [13]. In our case data are represented by the records of each document included in the review. The selection of documents was performed using the Scopus database. Three keywords connected by Boolean operator AND were used to identify the most relevant documents in the analyzed research field, i.e., "glass", "packaging", "carbon" and "footprint". The extraction was carried out on 22 April 2022 and generated 46 documents. Documents were filtered by subject area, language and document types, through the search protocols detailed in Table 1 and then adopting the PRISMA illustrated in Figure 1. Consequently 12 documents were deleted and a total of 34 documents were included in the meta-analysis.

TABLE I

Search code	TITLE-ABS-KEY (glass AND packaging AND carbon AND footprint)
Subject areas	All
Document type	Articles, reviews, chapters, conference papers
Language	English

B. Preliminary meta-analysis

Meta-analysis is a quantitative and scientific synthesis of research results. Since the 70s, meta-analysis has transformed many scientific fields, helping to establish evidence-based practice and research. At the same time, its implementation has engendered criticism and controversy, in some cases general and others specific to particular scientific fields.

Through a preliminary meta-analysis, based on descriptive statistical methods, the study contributes to the literature on carbon footprint assessment of packaging glass by discussing the challenges of current models within the forward and reverse supply chain, but more importantly, by identifying the most recommended circular economy policies and proposing future research directions.

IV. RESULTS

Figure 2 shows the distribution of documents' publication regarding the carbon footprint of glass packaging and related citations obtained per year.

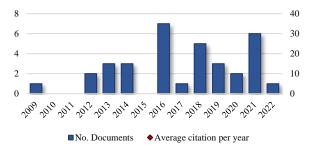
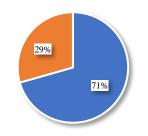


Fig. 2. Distribution of documents' publication and related citations obtained per year

The first study in the field dates back to 2009 [14]. Until 2014 the number of published documents was on average relatively low, never exceeding 3 papers per year. There has been a sudden spurt in 2016, when the number of published documents more than doubled compared to the previous period, highlighting a turning point towards a more consistent scientific production. The publication trend for 2022, in fact, seems to confirm the constantly increasing interest about the carbon footprint of glass packaging. On the date of document extraction from Scopus (22 April 2022)already one study had been published in the analyzed field of research [15].

Considering the average citations per year, documents published in 2016 represents milestones in the research field registering to date a value of 34. However, considering their relatively recent publication, the six documents published in 2021 highlight great individual and cumulative potentials to be cited in the future.

As illustrated in Figure 3, the analysis of the 34 documents included in the meta-analysis shows that the majority (71%) are studies regarding the carbon footprint of food and beverage products in which glass packaging represents part of the entire assessment. Only 29% of documents refer to research efforts regarding exclusively the glass packaging intended as autonomous product or as waste [e.g., 16,17,18].



• CFA of some F&B supply chains • CFA of packaging and waste

Fig. 3. CFA studies by field of application

Figure 4 highlights that, among the studies regarding the carbon footprint of glass packaging as part of the F&B supply chain assessment, the most analyzed product is beer (10 documents) (see for example [19,20,21,22,23]), followed by wine (5 documents) (see for example [24,25]) and olive oil (3 documents) (see for example [26]. Studies regarding the CFA of glass packaging applied to food products are instead less widespread than those applied to beverages. This is clearly due to the preeminent market use of glass packaging for beverages, even if application of glass packaging is widespread also for tomato sauces [27] and vegetable preserves [28].

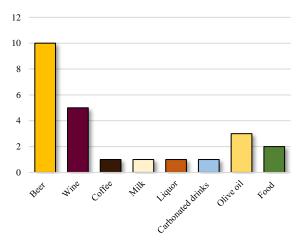


Fig. 4. Distribution of CFA studies according to the analyzed F&B supply chain

As shown in Figure 5, most of the studies, approximately 74%, report that to reduce the carbon footprint of glass packaging an increase in the use of recycled glass should be ensured, both to produce other glass packaging or to simply avoid the landfill disposal [29,30].

53% of the analyzed documents propose instead the use of lightest glass packaging which would ensure a decrease in the carbon footprint of glass primary production [31,32], but also in the carbon footprint occurring during logistics operations and distribution phase, which depends mainly on the transported commodity weight across the forward and reverse flows of the supply chains [33]. Half of the studies suggest the substitution of glass packaging with other less impacting materials in terms of GHG emissions [34], while only 47% of documents bet on glass packaging reuse [35,36] through the adoption of proper return and refill schemes, including deposit-refund systems.

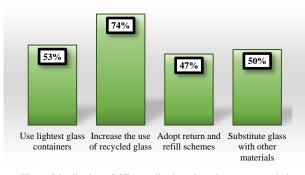


Fig. 5. Distribution of CFA studies based on the recommended circular economy policy

Turning the attention to the average citations per document registered by studies which recommend the abovementioned circular economy policies (Figure 6), the most cited studies on average are those proposing an increase of the recycled glass share in the glass production, followed by the innovative management systems addressed to the glass packaging reuse. Case studies in this field, to date, register an average citations per document equal to 20.63, showing an emerging interest by scholars, certainly worthy of further scientific efforts. Less cited on average are the documents which propose the glass packaging substitution. This is probably due to the scarce scientific interest in developing new materials whose production is not always more sustainable than others, especially when considering the reuse options. The production of light PET, for example, was proved to have a lower carbon footprint than glass production, but it can be recycled fewer times and is not reusable as glass packaging.



Fig. 6. Average citations per document based on the recommended circular economy policy

V. CONCLUSIONS

Even if glass has traditionally been the favoured packaging for beverages and processed foods, it has increasingly come under pressure from PET and cans, because the latter have lower costs. To ensure survival, glass packaging needs to evolve and make itself more relevant and competitive in the mass market, benefiting from the opportunities of circular economy [37].

As highlighted by previous results, the reviewed studies seem to recommend roughly similar circular economy patterns that lead to successful implementation, although they demonstrate that there are different ways in which each carbon footprint reduction strategy can be applied. Since cullet is infinitely recyclable without any loss of mechanical properties, its exploitation is fundamental for glass to remain an attractive packaging material, relevant to the demands of modern times and consumers.

Most recommended circular economy policy suggest incremental innovation, as in the case of the glass containers light-weighting; almost every major F&B producer reduced the weight of its packaging over the last decade in order to decrease their carbon footprint.

Given the difficulty of moving beyond traditional product delivery, mainly due to supply chain limitations or product limitations, dependent by the inherent nature of the product, other radical circular economy policies, such as those implying return and reuse management systems (e.g., deposit refund systems, door-to-door collection, and traceability systems) are significantly sparser, despite their increasing scientific interest.

Although this meta-analysis aimed to be comprehensive, there might be studies that are missing, because of the search criteria.

Nonetheless, this rapid review offers some practical insights in how circular economy could be used to decrease the carbon footprint of glass packaging. It presents a range of recommended policies from more system-level to consumer-focused approaches.

Furthermore, the study outlines some success scientific factors for each type of the recommended circular economy policies which could be considered to increase chances of a practical implementation within the forward and reverse supply chains to achieve the specific Sustainable Development Goals.

References

- Worrell, E. (2014). Recycling of packaging. In Worrell, E. and Reuter M.A. (ed.), *Handbook of Recycling*, 297-306. Elsevier, The Netherlands.
- [2] De Leo, F., Coluccia, B., Miglietta, P. P., and Serio, F. (2021). Food contact materials recalls and international trade relations: An analysis of the nexus between RASFF notifications and product origin. *Food Control*, 120, 107518.
- [3] Radu, V.M., Chiriac, M., Deak, G., Pipirigeanu, M., and Izhar, T.N.T. (2020). Strategic actions for packaging waste management and reduction. *IOP Conference Series: Earth* and Environmental Science, 616(1), 012019.
- [4] Beerkens, R., Kers, G., and van Santen, E. (2011). Recycling of post-consumer glass: Energy savings, CO₂ emission reduction, effects of glass quality and glass melting. *Ceramic Engineering and Science Proceedings*, 32(1), 167-194.
- [5] Beerkens, R.G. and Santen, E.V. (2008). Recycling in container glass production: present problems in European glass industry. *Ceramic Engineering and Science Proceedings*, 27, 181-202.
- [6] Miglietta, P.P., De Leo, F., and Toma, P. (2016). Ecological Footprint: a local policy perspective. In Massari, S.,

Sonnemann, G., Balkau, F. (ed.), *Life Cycle Approaches to Sustainable Regional Development*, 165-171. Routledge, United Kingdom.

- [7] Wiedmann, T. and Minx, J. (2008). A definition of 'carbon footprint'. *Ecological economics research trends*, 1, 1-11.
- [8] Gao, T., Liu, Q., and Wang, J. (2014). A comparative study of carbon footprint and assessment standards. *International Journal of Low-Carbon Technologies*, 9(3), 237-243.
- [9] Weidema, B. P., Thrane, M., Christensen, P., Schmidt, J., and Løkke, S. (2008). Carbon footprint: a catalyst for life cycle assessment?. *Journal of industrial Ecology*, 12(1), 3-6.
- [10] Finkbeiner, M. (2009). Carbon footprinting—opportunities and threats. *The International Journal of Life Cycle* Assessment, 14(2), 91-94.
- [11] Minx, J. C., Wiedmann, T., Wood, R., Peters, G. P., Lenzen, M., Owen, A., Scott, K., Barrett, J., Hubacek, K., Baiocchi, G., Paul, A., Dawkins, E., Briggs, J., Guan, D., Suh, S., and Ackerman, F. (2009). Input–output analysis and carbon footprinting: an overview of applications. *Economic systems* research, 21(3), 187-216.
- [12] Finkbeiner, M. (2016). Introducing "Special types of life cycle assessment". In Special Types of Life Cycle Assessment (pp. 1-9). Springer, Dordrecht.
- [13] Moher, D., Shamseer, L., Clarke, M., Ghersi, D., Liberati, A., Petticrew, M., Shekelle, P., Stewart, L.A., and PRISMA-P Group (2015). Preferred reporting items for systematic review and meta-analysis protocols (PRISMA-P) 2015 statement. *Systematic reviews*, 4(1), 1-9.
- [14] Humbert, S., Loerincik, Y., Rossi, V., Margni, M., and Jolliet, O. (2009). Life cycle assessment of spray dried soluble coffee and comparison with alternatives (drip filter and capsule espresso). *Journal of Cleaner Production*, 17(15), 1351-1358.
- [15] Morgan, D.R., Styles, D., and Lane, E.T. (2022). Packaging choice and coordinated distribution logistics to reduce the environmental footprint of small-scale beer value chains. *Journal of Environmental Management*, 307, 114591.
- [16] Otto, S., Strenger, M., Maier-Nöth, A., and Schmid, M. (2021). Food packaging and sustainability–Consumer perception vs. correlated scientific facts: A review. *Journal* of Cleaner Production, 298, 126733.
- [17] Gallego-Schmid, A., Mendoza, J.M.F., and Azapagic, A. (2018). Improving the environmental sustainability of reusable food containers in Europe. *Science of The Total Environment*, 628, 979-989.
- [18] Ivanov, I. G. and Hartmann, D. (2016). Two green bottles, standing on a wall: an environmental assessment of two bottle types. *South African Journal of Industrial Engineering*, 27(3), 303-314.
- [19] Cimini, A. and Moresi, M. (2018a). Effect of brewery size on the main process parameters and cradle-to-grave carbon footprint of lager beer. *Journal of Industrial Ecology*, 22(5), 1139-1155.
- [20] Cimini, A. and Moresi, M. (2018b). Mitigation measures to minimize the cradle-to-grave beer carbon footprint as related to the brewery size and primary packaging materials. *Journal of Food Engineering*, 236, 1-8.
- [21] Amienyo, D. and Azapagic, A. (2016). Life cycle environmental impacts and costs of beer production and consumption in the UK. *The International Journal of Life Cycle Assessment*, 21(4), 492-509.
- [22] Cimini, A. and Moresi, M. (2016). Carbon footprint of a pale lager packed in different formats: assessment and sensitivity analysis based on transparent data. *Journal of Cleaner Production*, 112, 4196-4213.
- [23] Masotti, P., Campisi, B., and Bogoni, P. (2016). Carbon footprint evaluation of an Italian micro-brewery. *Procedia Environmental Science, Engineering and Management*, 3(3-4), 119-127.
- [24] Laca, A., Gancedo, S., Laca, A., and Díaz, M. (2021). Assessment of the environmental impacts associated with vineyards and winemaking. A case study in mountain areas. *Environmental Science and Pollution Research*, 28(1), 1204-1223.

- [25] Bonamente, E., Scrucca, F., Rinaldi, S., Merico, M.C., Asdrubali, F., and Lamastra, L. (2016). Environmental impact of an Italian wine bottle: Carbon and water footprint assessment. *Science of the Total Environment*, 560, 274-283.
- [26] Pattara, C., Salomone, R., and Cichelli, A. (2016). Carbon footprint of extra virgin olive oil: A comparative and driver analysis of different production processes in Centre Italy. Journal of Cleaner Production, 127, 533-547.
- [27] Wohner, B., Gabriel, V. H., Krenn, B., Krauter, V., and Tacker, M. (2020). Environmental and economic assessment of food-packaging systems with a focus on food waste. Case study on tomato ketchup. *Science of the Total Environment*, 738, 139846.
- [28] Frankowska, A., Jeswani, H. K., and Azapagic, A. (2019). Environmental impacts of vegetables consumption in the UK. Science of The Total Environment, 682, 80-105.
- [29] Navarro, A., Puig, R., Martí, E., Bala, A., and Fullana-i-Palmer, P. (2018). Tackling the relevance of packaging in life cycle assessment of virgin olive oil and the environmental consequences of regulation. *Environmental management*, 62(2), 277-294.
- [30] Rinaldi, S., Barbanera, M., and Lascaro, E. (2014). Assessment of carbon footprint and energy performance of the extra virgin olive oil chain in Umbria, Italy. *Science of the total environment*, 482, 71-79.
- [31] Gierling, F. and Blanke, M. (2021). Carbon reduction strategies for regionally produced and consumed wine: From farm to fork. *Journal of Environmental Management*, 278, 111453.
- [32] Ponstein, H. J., Meyer-Aurich, A., and Prochnow, A. (2019). Greenhouse gas emissions and mitigation options for German wine production. *Journal of Cleaner Production*, 212, 800-809.
- [33] Meneses, M., Torres, C.M., and Castells, F. (2016). Sensitivity analysis in a life cycle assessment of an aged red wine production from Catalonia, Spain. *Science of the Total Environment*, 562, 571-579.
- [34] Dhaliwal, H., Browne, M., Flanagan, W., Laurin, L., and Hamilton, M. (2014). A life cycle assessment of packaging options for contrast media delivery: comparing polymer bottle vs. glass bottle. *The International Journal of Life Cycle Assessment*, 19(12), 1965-1973.
- [35] Cimini, A. and Moresi, M. (2021). Circular economy in the brewing chain. *Italian Journal of Food Science*, 33(3), 47-69.
- [36] Amienyo, D., Gujba, H., Stichnothe, H., and Azapagic, A. (2013). Life cycle environmental impacts of carbonated soft drinks. *The International Journal of Life Cycle Assessment*, 18(1), 77-92.
- [37] Van Breda, R. (2017). Can cullet ensure glass remains key?. *Glass International*.